



EMPEROR
ASSET MANAGEMENT

INTERMEDIARY DISCLOSURE



INTERMEDIARY DISCLOSURE

*Receive income monthly
from the cash & dividend
component of your invest-
ment or choose to reinvest it
into your investment while
preserving your capital
at the same time.*

(a division of FIRST WORLD TRADER (PTY) LTD) REGISTRATION NO: 1999/21265/0

INTERMEDIARY DISCLOSURE

In terms of the Financial Advisory and intermediary Services Act (FAIS) (ACT NO 37 of 2002) the following information must be disclosed to clients and potential clients.

YOUR INTERMEDIARY/FINANCIAL SERVICES PROVIDER

Full name: Thomas de Lange

Identity Number: 590522 5025 084

Physical Address: 3rd Floor, 10 Melrose Boulevard, Melrose Arch, 2196

I am an Independent Investment Advisor and have 25 years experience.

I have training and experience to advise my clients on the following business lines:

- 1 Investment Management; and
- 2 Investment Planning

I have achieved the following formal qualifications:

- 1 Chartered Financial Analyst (CFA);
- 2 Management Development Programme Diploma (Unisa); and
- 3 B. Eng (Metal) Hons (UP)

- I am a member of the South African chapter of CFA & the Investment Analysts Society.
- I am a Key Individual of the above mentioned discretionary asset manager.
- I do not own more than 10% of issued shares directly of or indirectly of any Life Assurer or Financial Product Provider.
- I am not an associated company of any life assurer or product provider.
- I have not earned more than 30% of my total commission from any one insurer.
- I earn my income from monthly portfolio management fees and brokerage (the service provider pays a portion back to Emperor Asset Management).
- I have nominal equity holdings in Purple Capital, a parent company of Emperor Asset Management.
- I have Professional Indemnity Insurance cover.

Bianca Diedericks is Emperor Asset Management's compliance officer and can be contacted at:

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In terms of the FAIS Act we are obliged to set up a complaints resolution process. Please contact me should you wish to get more detailed information in this regard.



INTERMEDIARY DISCLOSURE

*A fully transparent fund,
with clients receiving monthly
or even daily investment
statements that include
alpha and beta levels,
together with upside and
downside capture.*

Please complete the following:

- I do / do not (delete as appropriate) require a financial needs analysis.
- I do / do not (delete as appropriate) require financial and or retirement planning.
- I hereby acknowledge that I have read the content of this document

Date

Client Signature